**Michael Brown**

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**Contact Information:**

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**Professional Profile:**

Accomplished Head of Compliance with 20 years of experience in the banking industry. Proficient in regulatory compliance, anti-money laundering (AML), and the development of effective compliance programs. Demonstrated expertise in managing compliance teams, conducting risk assessments, and ensuring adherence to both internal and external regulatory requirements. Known for exceptional leadership, strategic thinking, and a meticulous approach to compliance management.

**Education:**

**University of Oxford (Russell Group)**

* MSc in Financial Regulation, Distinction
* Graduated: 2003

**University of Edinburgh (Russell Group)**

* BSc Law and Business, Upper Second Class Honours
* Graduated: 2001

**Career History:**

**NatWest Group** *Head of Compliance*  
*Manchester, UK*  
*2015 - Present*  
Leading the compliance function, overseeing the development and implementation of comprehensive compliance strategies. Managing a team of compliance professionals, ensuring the bank's operations adhere to regulatory standards and internal policies.

* **Key Achievements:**
  + Led the successful implementation of a new compliance monitoring system, resulting in a 30% reduction in compliance breaches.
  + Conducted high-level risk assessments and audits, providing strategic recommendations to senior management.
  + Enhanced compliance training programs, significantly improving staff compliance awareness and performance.

**Santander UK** *Head of AML Compliance*  
*Manchester, UK*  
*2010 - 2015*  
Managed the AML compliance team, overseeing the development and implementation of AML policies and procedures. Conducted risk assessments, monitored transactions, and ensured compliance with AML regulations.

* **Key Responsibilities:**
  + Developed and implemented AML policies and procedures.
  + Conducted regular AML risk assessments and compliance audits.
  + Investigated suspicious activities and reported findings to regulatory bodies.
  + Provided AML training and support to staff.

**HSBC Bank** *Senior Compliance Analyst*  
*Manchester, UK*  
*2003 - 2010*  
Supported the compliance team in monitoring compliance with regulatory requirements. Conducted due diligence, reviewed transactions, and assisted in developing compliance programs.

* **Key Contributions:**
  + Conducted detailed due diligence on high-risk clients and transactions.
  + Assisted in developing and implementing compliance policies and procedures.
  + Monitored transactions for suspicious activities and potential compliance breaches.
  + Prepared compliance reports and documentation for senior management.

**Key Skills:**

* **Regulatory Compliance:** Extensive knowledge of regulatory requirements and compliance best practices.
* **AML Compliance:** Proficient in anti-money laundering regulations and practices.
* **Risk Management:** Skilled in conducting risk assessments and developing risk mitigation strategies.
* **Policy Development:** Experienced in developing and implementing compliance policies and procedures.
* **Team Leadership:** Strong leadership abilities with experience in managing compliance teams.
* **Analytical Thinking:** Strong analytical skills with a detail-oriented approach.
* **Communication:** Excellent communication skills, both written and verbal, with the ability to convey complex information effectively.
* **Strategic Planning:** Ability to develop and implement strategic compliance initiatives.

**Certifications:**

* **Certified Anti-Money Laundering Specialist (CAMS)**
* **International Diploma in Compliance (ICA)**
* **Certified Information Systems Auditor (CISA)**
* **Certified in Risk and Information Systems Control (CRISC)**
* **Advanced Certificate in Governance, Risk and Compliance (GRC)**